

(IMPORTANT - Read instructions on reverse before completing this form)

OMB NO. 3080-0049

AGENCY INFORMATION SECURITY PROGRAM DATA				1. PERIOD COVERED		INTERAGENCY REPORT CONTROL NUMBER						
DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT				A. FROM	B. TO							
Department of the Navy				01 OCT 94	30 SEP 95	0230-GSA-AN						
A. SENIOR OFFICIAL (Section 5.3, E.O. 12356)				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)								
Mr. Roy D. Nedrow, CNO (N09N)				Mr. Michael F. Brown								
Senior Agency: Official				Chief of Naval Operations (N09N2)								
				2000 Navy Pentagon								
				Washington, DC 20350-2000								
				(202) 433-8841/0								
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES												
A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL									
66	69	N/A	135									
6. ADDITIONAL DECLASSIFICATION AUTHORITIES												
A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL									
10	15	N/A	25									
7. CLASSIFICATION DECISIONS		ORIGINAL			DERIVATIVE							
		DATE OR EVENT	ORIGINATING AGENCY'S DETERMINATION REQUIRED (O.A.D.R.)			DATE OR EVENT	"OADR"					
		(a)	(b)			(c)						
A. TOP SECRET		0	6			6	472					
B. SECRET		10	38			133	4236					
C. CONFIDENTIAL		23	86			1049	1977					
8. MANDATORY REVIEW REQUESTS AND APPEALS												
	CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	DECLASSIFICATION DECISIONS (Report in cases, documents, and pages)									CASES CARRIED OVER TO NEXT PERIOD (f)
			GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			
			CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS	123	1017	739	2013	54,913	111	204	4174	190	197	4547	100
B. APPEALS	--	--	--	--	--	--	--	--	--	--	--	--
9. SYSTEMATIC REVIEW FOR DECLASSIFICATION (in pages)								10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS				
A. REVIEWED		B. DECLASSIFIED										
4,006,088		3,804,470						1,400				
11. NUMBER OF INFRACTIONS INVOLVING:												
A. OVERCLASSIFICATION		B. UNDERCLASSIFICATION		C. CLASSIFICATION WITHOUT AUTHORITY		D. MISMARKING						
2		0		-		109						
E. IMPROPER DESTRUCTION	F. UNAUTHORIZED ACCESS	G. IMPROPER STORAGE	H. IMPROPER REPRODUCTION	I. IMPROPER TRANSMISSION	J. OTHER (Elaborate under Item 12)							
-	-	-	-	477	-							
12. REMARKS												

The number of Original Classification Authorities was reduced by 5%.

The number of derivative classification decisions was proportionally higher than in previous years.

The number of mandatory review requests was higher and systematic review was lower.

(IMPORTANT - Read instructions on reverse before completing this form)

OMB NO. 3090-0049

AGENCY INFORMATION SECURITY PROGRAM DATA				1. PERIOD COVERED		INTERAGENCY REPORT CONTROL NUMBER						
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT				A. FROM	B. TO							
DEPARTMENT OF THE NAVY				01 OCT 95	30 SEP 96	0230-GSA-AN						
3. SENIOR OFFICIAL (Section 8.3, E.O. 13526)				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)								
Mr. Roy D. Nedrow, CNO (N09N)				Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) 2000 Navy Pentagon Washington, DC 20350-2000 (202) 433-8847								
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES												
A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL									
65	68	N/A	133									
6. ADDITIONAL DECLASSIFICATION AUTHORITIES												
A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL									
7. CLASSIFICATION DECISIONS				ORIGINAL		DERIVATIVE						
				<del>RESTRICTED</del> DECLASSIFY IN 10 YRS OR LESS (a)		<del>RESTRICTED</del> DETERMINATION REQUIRED EXEMPT FROM DECLASS IN 10 YRS OR (b) LESS (b)						
						(c)						
A. TOP SECRET	0	7	569									
B. SECRET	12	31	5,080									
C. CONFIDENTIAL	17	92	2,860									
8. MANDATORY REVIEW REQUESTS AND APPEALS												
B. MANDATORY REVIEW REQUESTS AND APPEALS	CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	DECLASSIFICATION DECISIONS (Report in cases, documents, and pages)									CASES CARRIED OVER TO NEXT PERIOD (f)
			GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			
			CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS	100	958			30,553			3,682			5,528	90
B. APPEALS	0	-	-	-	-	-	-	-	-	-	-	0
PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION REVIEW												
9. SYSTEMATIC REVIEW FOR DECLASSIFICATION (In pages)										10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS		
A. REVIEWED										B. DECLASSIFIED		
30,000,000										1,400		
11. NUMBER OF INTERACTIONS INVOLVING: N/A												
A. OVERCLASSIFICATION			B. UNDERCLASSIFICATION			C. CLASSIFICATION WITHOUT AUTHORITY			D. MISMARKING			
E. IMPROPER DESTRUCTION		F. UNAUTHORIZED ACCESS		G. IMPROPER STORAGE		H. IMPROPER REPRODUCTION		I. IMPROPER TRANSMISSION		J. OTHER (Elaborate under Item 12)		
12. REMARKS STATUS OF IMPLEMENTATION OF DECLASSIFICATION PLAN: As of 30 Sep 96, approximately 6% (30,000,000 pgs) of the estimated 500,000,000 pgs have been reviewed and about 8% of them required continuing protection (e.g., Restricted Data). FY 97 efforts will include obtaining more precise figures on the review task, devoting more resources to certain files and using technology where possible to expedite completion.												

AUTHORIZED FOR LOCAL REPRODUCTION. PREVIOUS EDITION UNUSABLE.

<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>		
<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED <b>FY 97</b>		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT <b>DEPARTMENT OF THE NAVY</b>			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) <b>VICKI L. CICALA, CNO NO9N2 (202) 433-8847</b>			
4. SENIOR AGENCY OFFICIAL (Section 3.5, E.O. 12358) <b>DAVID L. BRANT</b>						
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>						
A. TOP SECRET <b>63</b>	B. SECRET <b>64</b>	C. CONFIDENTIAL <b>N/A</b>	D. TOTAL <b>127</b>			
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE			
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)				
A. TOP SECRET	0	2	111			
B. SECRET	17	12	2550			
C. CONFIDENTIAL	11	84	460			
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	73	353	175	3,195	269	5,461
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of E.O. 12358) <b>30,517,550—pages * (See Note 1)</b>			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews <b>1,400</b>			
<b>10. EXPLANATORY COMMENTS</b> (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						
SAMPLING PERIODS: 23 Feb 97 – 22 Mar 97; 30 Mar 97 – 26 Apr 97; 4 May 97 – 31 May 97; 7 Jul 97 – 2 Aug 97.						
* The Department of the Navy has reviewed 34,954,330 pages (15.39%) and declassified 13.44% permanently valuable records.						
NOTE 1: Not included in this total are 10,660,673 pages of non-permanently valuable records declassified during systematic declassification reviews conducted at various Navy activities and Navy contractor facilities.						

<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>		INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>	
<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>		1. FISCAL YEAR COVERED  FY 98	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  DEPARTMENT OF THE NAVY		3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)  Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847	
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)  DAVID L. BRANT			
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>			
A. TOP SECRET  64	B. SECRET  65	C. CONFIDENTIAL  N/A	D. TOTAL  129
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>			
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	60	5	274
B. SECRET	176	48	2938
C. CONFIDENTIAL	274	44	1077
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)*	CASES CARRIED OVER TO NEXT PERIOD (c)
	DECLASSIFICATION DECISIONS (Report in pages)		
			GRANTED IN FULL (d)
			GRANTED IN PART (e)
			DENIED (f)
A. REQUESTS	74	27	33
B. APPEALS	0	2*	0
			6452
			50
			13
			0
			0
			12
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)  31,402,816 **		9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews  1,400	
<b>10. EXPLANATORY COMMENTS</b> (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)			
SAMPLING PERIODS: 1) 23 Feb 98-20 Mar 98; 2) 30 Mar 98-24 Apr 98; 3) 4 May 98-29 May 98 4) 6 Jul 98-31 Jul 98.			
* 7.B. Appeals - One appeal withdrawn			
** 8. Pages Declassified - Department of the Navy contractor declassification effort commenced 1 Jul 98.			
Encl (1)			

<b>(IMPORTANT — Read Instructions on reverse before completing this form)</b>				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>		
<b>AGENCY SECURITY CLASSIFICATION          MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED FY 99		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT DEPARTMENT OF THE NAVY (DON)			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847			
4. SENIOR AGENCY OFFICIAL (Section 5.8, E.O. 12958) DAVID L. BRANT						
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>						
A. TOP SECRET 67	B. SECRET 65	C. CONFIDENTIAL N/A	D. TOTAL 132			
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE			
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)				
A. TOP SECRET	0	<del>48</del> 52	<del>9,542</del> <del>343,512</del>			
B. SECRET	<del>360</del> 390	<del>468</del> 507	<del>313,256</del> 198,140			
C. CONFIDENTIAL	<del>168</del> 182	<del>336</del> 364	<del>337,240</del> 93,678			
<b>7. MANDATORY          REVIEW          REQUESTS</b>	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	10	40	19	406	421	107
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED; AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) 47,809,181.			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 1,400			
<b>10. EXPLANATORY COMMENTS</b> <i>(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)</i>						
SAMPLING PERIODS: 1) 1 Feb 99 - 26 Feb 99						
2) 1 Mar 99 - 26 Mar 99						
3) 5 Apr 99 - 30 Apr 99						
4) 5 Jul 99 - 30 Jul 99						
Total DON records reviewed FY 99: 59,646,738.						
Encl (1)						

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<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>		1. FISCAL YEAR COVERED  FY 00	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  DEPARTMENT OF THE NAVY		3. CONTACT FOR ADDITIONAL INFORMATION. (Name, office and telephone no.) Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847	
4. SENIOR AGENCY OFFICIAL. (Section 5.6, E.O. 12958)  DAVID L. BRANT			
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>			
A. TOP SECRET  65	B. SECRET  61	C. CONFIDENTIAL  N/A	D. TOTAL  126
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>			
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	156	172,029
B. SECRET	1,196	3,510	1,900,899
C. CONFIDENTIAL	4,368	3,016	299,871
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)
	DECLASSIFICATION DECISIONS (Report in pages)		
		GRANTED IN FULL (d)	GRANTED IN PART (e)
			DENIED (f)
A. REQUESTS	8	13	9
B. APPEALS	0	2	0
		0	0
			321
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)  27,559,043.		9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews  1,400	
<b>10. EXPLANATORY COMMENTS</b> (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)			
SAMPLING PERIODS: 1) 7 Feb 00 - 18 Feb 00			
2) 20 Mar 00 - 31 Mar 00			
3) 8 May 00 - 19 May 00			
4) 10 Jul 00 - 21 Jul 00			
Total DON records reviewed FY 00: 50,651,087.			
DON TOTAL OCAs decreased by 6.			
Encl (1)			

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<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>		1. FISCAL YEAR COVERED  FY 01	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  DEPARTMENT OF THE NAVY		3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847	
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)  DAVID L. BRANT			
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES			
A. TOP SECRET  103	B. SECRET  21	C. CONFIDENTIAL  N/A	D. TOTAL  124
6. NUMBER OF CLASSIFICATION DECISIONS			
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	780	6,656	118,883
B. SECRET	13,130	32,136	894,465
C. CONFIDENTIAL	702	2,366	343,629
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)
	DECLASSIFICATION DECISIONS (Report in pages)		
		GRANTED IN FULL (d)	GRANTED IN PART (e)
			DENIED (f)
A. REQUESTS	7	68	4
B. APPEALS	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)	9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews		
67,110,403 (Reviewed: 105,912,574)	1,400		
10. EXPLANATORY COMMENTS (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)			
SAMPLING PERIODS: <div style="margin-left: 20px;">                     1) 05 Feb 01 – 16 Feb 01                      2) 19 Mar 01 – 30 Mar 01                      3) 14 May 01 – 25 May 01                      4) 09 Jul 01 – 20 Jul 01                 </div>			

Encl (1)

<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>	
<b>AGENCY SECURITY CLASSIFICATION          MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED  FY02	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  DEPARTMENT OF THE NAVY			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)  Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847		
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)  David L. Brant					
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>					
A. TOP SECRET  67		B. SECRET  54		C. CONFIDENTIAL  N/A	
D. TOTAL  121					
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>					
CLASSIFICATION LEVEL		ORIGINAL CLASSIFICATION		DERIVATIVE	
		DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)		
A. TOP SECRET		156	156	606177.	
B. SECRET		234	416	921492.	
C. CONFIDENTIAL		208	468	277992.	
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)	
			GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	0	2	1	1	0
B. APPEALS	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) Reviewed: 45,012,674. Declassified: 15,754,436.			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews  1400		
<b>10. EXPLANATORY COMMENTS</b> (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)					
Sampling Periods: 25 Feb - 08 Mar 02					
11 Mar - 22 Mar 02					
10 Jun - 21 Jun 02					
01 Jul - 12 Jul 02					
_____					
_____					

Encl: (1)



<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>	
<b>AGENCY SECURITY CLASSIFICATION                  MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED  <b>PY03</b>	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  DEPARTMENT OF THE NAVY			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Ms. Vicki L. Cicala Chief of Naval Operations (N09N2) (202) 433-8847		
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)  DAVID L. BRANT					
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>					
A. TOP SECRET  68	B. SECRET  53	C. CONFIDENTIAL  N/A	D. TOTAL  121		
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>					
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE		
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)			
A. TOP SECRET	0	52	322,748		
B. SECRET	52	12,052	312,834		
C. CONFIDENTIAL	2,574	2,208	361,191		
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)	
				GRANTED IN FULL (d)	GRANTED IN PART (e)
				DENIED (f)	
A. REQUESTS	7	22	11	478	151
B. APPEALS	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews		
Reviewed: 19,668,708/Declassified:10,817,495			1,400		
<b>10. EXPLANATORY COMMENTS</b> (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)					
SAMPLING PERIODS:      3 Feb 03 - 14 Feb 03					
17 Mar 03 - 28 Mar 03					
9 Jun 03 - 20 Jun 03					
14 Jul 03 - 25 Jul 03					
Enc1 (1)					

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the Fiscal Year that this report covers. FY 2004
2. Identify the Department, Independent agency or Establishment that is covered by this report. DEPARTMENT OF THE NAVY
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report. DAVID BRANT, CHIEF OF NAVAL OPERATIONS (N09N), SPECIAL ASSISTANT FOR SECURITY AND INVESTIGATIVE MATTERS, 716 SICARD ST., SE, WASHINGTON NAVY YARD, DC 20388-5380, (202) 433-8800, (202) 433-8849 (FAX), DBRANT@NCIS.NAVY.MIL
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. NEALA K. ENFINGER, CHIEF OF NAVAL OPERATIONS (N09N2), HEAD, INFORMATION SECURITY POLICY DIVISION, 716 SICARD ST., SE, WASHINGTON NAVY YARD, DC 20388-5380, (202) 433-8842, (202) 433-8849 (FAX), NENFINGE@NCIS.NAVY.MIL

## PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 68
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 53
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	

## PART C: Original Classification Decisions

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 78
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 130
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 1,378
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 312
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	

## PART D: Derivative Classification Decisions

19. Enter the number of derivative TOP SECRET classifications during the reporting period.	19. 80,344
20. Enter the number of derivative SECRET classifications during the reporting period.	20. 505,826
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	21. 590,246
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	

Enter the Fiscal Year that this report covers.

FY 2004

Identify the Department, independent agency or establishment that is covered by this report.

DEPARTMENT OF THE NAVY

#### PART E: Mandatory Declassification Review Requests and Appeals

For all information, the Department must respond to all requests for declassification, regardless of the number of pages involved. Record only requests for which your agency is responsible for the final decision. Please provide an explanation in Part B, if you submit a number of requests or appeals carried forward between reporting periods.

23. Enter the number of requests carried over from the previous reporting period.	23.	11
24. Enter the number of new requests received during the reporting period.	24.	3
25. Enter the number of requests carried over to the next reporting period.	25.	1
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

#### PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	300
30. Enter the number of requested pages that were declassified in part.	30.	0
31. Enter the number of requested pages that were denied declassification.	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	300
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	0

#### PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37.	11,917,051
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	4,059,934

#### PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	1,400
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

#### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

#### NOTES:

- (1) SAMPLING PERIODS: 23 FEB 04 - 5 MAR 04; 15-26 MAR 04; 7-18 JUN 04; 12-23 JUL 04.
- (2) SAMPLING METHOD SAME AS THAT USED FOR FY 2003.
- (3) BECAUSE FULL IMPLEMENTATION OF THE AMENDED EO 12958 DID NOT OCCUR UNTIL AFTER THE FINAL SAMPLING PERIOD, BLOCKS 10, 13 AND 16 REPRESENT ORIGINAL DECISIONS USING EXEMPTION CODES X1-X8.

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.  
1 October 2004 through 30 September 2005
2. Identify the Department, Independent agency or Establishment that is covered by this report.  
Department of Navy
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.  
David L. Brant, Chief of Naval Operations (N09N), Special Assistant for Naval Investigative Matters and Security, 716 Sicard St., SE, Washington Navy Yard DC 20388-5380, (202) 433-8800, Fax: (202) 433-8849, DBRANT@NCIS.NAVY.MIL
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report.  
Neale K. Enfinger, Chief of Naval Operations (N09N2), Information Security Policy Division, 716 Sicard St., SE, Washington Navy Yard DC 20388-5380, (202) 433-8842, Fax: (202) 433-8849, NENFINGE@NCIS.NAVY.MIL

## PART B: Officials with Original Classification Authority

- |                                                                                                                   |    |     |
|-------------------------------------------------------------------------------------------------------------------|----|-----|
| 5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.   | 5. | 65  |
| 6. Enter the number of agency officials whose highest level of original classification authority is SECRET.       | 6. | 45  |
| 7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL. | 7. | 0   |
| 8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)                    | 8. | 110 |

## PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

- |                                                                                                                                                                                    |     |       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------|
| 9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.                       | 9.  | 0     |
| 10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.   | 10. |       |
| 11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)                                                                                           | 11. | 0     |
| 12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.                          | 12. | 208   |
| 13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.       | 13. | 312   |
| 14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)                                                                                              | 14. | 520   |
| 15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.                    | 15. | 40260 |
| 16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years. | 16. | 1182  |
| 17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)                                                                                        | 17. | 41342 |
| 18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)                                                                                                | 18. | 962   |

## PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

- |                                                                                              |     |       |           |
|----------------------------------------------------------------------------------------------|-----|-------|-----------|
| 19. Enter the number of derivative TOP SECRET classifications during the reporting period.   | 19. | 443   | 177,177   |
| 20. Enter the number of derivative SECRET classifications during the reporting period.       | 20. | 1,002 | 689,403   |
| 21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period. | 21. | 859   | 282,711   |
| 22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)        | 22. | 2,304 | 1,149,291 |

Enter the Fiscal Year that this report covers.

1 October 2004 through 30 September 2005

Identify the Department, Independent agency or establishment that is covered by this report.

Department of Navy

#### PART E: Mandatory Declassification Review Requests and Appeals

A request for an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part H below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	1
24. Enter the number of new requests received during the reporting period.	24.	188
25. Enter the number of requests carried over to the next reporting period.	25.	10
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

#### PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	34
30. Enter the number of requested pages that were declassified in part.	30.	50
31. Enter the number of requested pages that were denied declassification.	31.	32
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	116
33. Enter the number of appealed pages that were declassified in full.	33.	4
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	4

#### PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37.	9,585,771
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	6,734,899

#### PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	31
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	1

#### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

1. The Department of Navy formula (DON) approved by ISOO was not used to compile the data for this FY05 report. It contains only the raw data, as requested in OUSD(I) memo of 1 Aug 05. The following formula was applied to the FY 04 report submitted to ISOO, by the DON:

- The raw data for Items 9, 10, 12, 13, 15 and 16 was multiplied by 26, to arrive at the total number for each; and
- The raw data for Items 19 through 21 was multiplied by  $26 \times 33 / 2$ , to obtain the total number for each.

2. Sampling periods were as follows: 21Feb-4Mar05; 16May-27May05; 11Jul-22Jul05; 15Aug-26Aug05.

NAVY

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the Fiscal Year that this report covers. FY 06
2. Identify the Department, Independent agency or Establishment that is covered by this report. Department of the Navy
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report. Thomas A. Betro Chief of Naval Operations (N09N), Special Assistant for Naval Investigative Matters and Security 716 Seward St, SE, Suite 2000, Washington Navy Yard DC 20398 Ph: 202-433-8800, fax -8849, Email: tbetro@ndia.navy.mil
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. Bridget A. Ouellette Chief of Naval Operations (N09N2), Head, Information Security Policy Division Ph: 202-433-8842, Fax: (202) 433-8849 Email: bridget.a.ouellette@navy.mil

## PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5.	61
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6.	43
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	104

## PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	11.	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	7
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.	7
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	7

## PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classifications during the reporting period.	19.	793 x 6.5
20. Enter the number of derivative SECRET classifications during the reporting period.	20.	2,853 x 6.5
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	21.	544 x 1.5
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	4,190

1,797,510

Enter the Fiscal Year that this report covers.

FY 06

Identify the Department, Independent agency or establishment that is covered by this report.

Department of the Navy

**PART E: Mandatory Declassification Review Requests and Appeals**

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed, as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	2
24. Enter the number of new requests received during the reporting period.	24.	25
25. Enter the number of requests carried over to the next reporting period.	25.	7
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

**PART F: Mandatory Declassification Review Decisions in Pages**

29. Enter the number of requested pages that were declassified in full.	29.	1,024
30. Enter the number of requested pages that were declassified in part.	30.	22
31. Enter the number of requested pages that were denied declassification.	31.	74
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	1,120
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	0

**PART G: Automatic Declassification and Systematic Review**

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37.	13,080,212
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	9,698,210

**PART H: Internal Agency Oversight**

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	100
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

**PART I: Explanatory Comments**

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

**AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA**

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

<b>PART A: Identifying Information</b>	
1. Enter the Fiscal Year that this report covers.	FY08
2. Identify the Department, Independent agency or Establishment that is covered by this report.	
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.	Thomas A. Betro Chief of Naval Operations (N09N), Special Assistant for Naval Investigative Matters and Security 716 Sicard Street, Suite 2000, Washington Navy Yard, DC 20388 Phone: 202-433-8800, fax: 202-433-8849, email: thomas.betro@navy.mil
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report.	Frank M. Bennett Chief of Naval Operations (N09N2), Classification Management Program Manager Phone: 202-433-8847, fax: 202-433-8849, email: frank.m.bennett@navy.mil
<b>PART B: Officials with Original Classification Authority</b>	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 60
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 46
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 106
<b>PART C: Original Classification Decisions</b>	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 2
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 5
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	11. 7
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 29
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 73
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14. 102
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18. 109
<b>PART D: Derivative Classification Decisions</b>	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]	
19. Enter the number of derivative TOP SECRET classifications during the reporting period.	19. 3,530
20. Enter the number of derivative SECRET classifications during the reporting period.	20. 141,603
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	21. 58,604
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22. 203,737



Enter the Fiscal Year that this report covers. FY08	
Identify the Department, independent agency or establishment that is covered by this report. [REDACTED]	
<b>PART E: Mandatory Declassification Review Requests and Appeals</b>	
A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.	
23. Enter the number of requests carried over from the previous reporting period.	23. 0
24. Enter the number of new requests received during the reporting period.	24. 12
25. Enter the number of requests carried over to the next reporting period.	25. 5
26. Enter the number of appeals carried over from the previous reporting period.	26. 0
27. Enter the number of new appeals received during the reporting period.	27. 0
28. Enter the number of appeals carried over to the next reporting period.	28. 0
<b>PART F: Mandatory Declassification Review Decisions in Pages</b>	
29. Enter the number of requested pages that were declassified in full.	29. 3,929
30. Enter the number of requested pages that were declassified in part.	30. 17
31. Enter the number of requested pages that were denied declassification.	31. 1,867
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32. 5,813
33. Enter the number of appealed pages that were declassified in full.	33. 0
34. Enter the number of appealed pages that were declassified in part.	34. 0
35. Enter the number of appealed pages that were denied declassification.	35. 0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36. 0
<b>PART G: Automatic Declassification and Systematic Review</b>	
37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37. 11,800,501
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 8,847,188
<b>PART H: Internal Agency Oversight</b>	
39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 401
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 3
<b>PART I: Explanatory Comments</b>	
Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.	
[REDACTED]	

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers: FY09	
2. Identify the Department, Independent agency or Establishment that is covered by this report. Department of the Navy	
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report. Gregory A. Scovel Chief of Naval Operations (N09N), Special Assistant for Naval Investigative Matters and Security 716 Sicard Street, Suite 2000, Washington Navy Yard, DC 20388 Phone: 202-433-8800, fax: 202-433-8849, email: gregory.scovel@navy.mil	
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. Bridget A. Ouellette Chief of Naval Operations (N09N2), Head, Information Security Policy Division Phone: 202-433-8842, fax: 202-433-8849, email: bridget.a.ouellette@navy.mil	
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	58
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	44
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	102
PART C: Original Classification Decisions	
Original classification is an initial determination that information is classified based on policy properly classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is based on, produced by, or is under the control of the United States Government; (3) it falls into at least one of the categories listed in section 1.4 of EO 12958, as amended, and its unauthorized disclosure could reasonably be expected to result in damage to the national security. It is classified only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	7
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	7
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	182
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	280
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	462
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	7
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	26
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	33
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	502
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. It does not include original or derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.	
19. Enter the number of derivative TOP SECRET classifications during the reporting period.	10,872
20. Enter the number of derivative SECRET classifications during the reporting period.	442,650
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	130,370
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	583,892

Enter the Fiscal Year that this report covers. FY09.	
Identify the Department, independent agency or establishment that is covered by this report. Department of the Navy	
<b>PART E: Mandatory Declassification Review Requests and Appeals</b>	
A "request" is an individual review request or appeal regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changed.	
23. Enter the number of requests carried over from the previous reporting period.	23. 2
24. Enter the number of new requests received during the reporting period.	24. 61
25. Enter the number of requests carried over to the next reporting period.	25. 20
26. Enter the number of appeals carried over from the previous reporting period.	26. 0
27. Enter the number of new appeals received during the reporting period.	27. 0
28. Enter the number of appeals carried over to the next reporting period.	28. 0
<b>PART F: Mandatory Declassification Review Decisions in Pages</b>	
29. Enter the number of requested pages that were declassified in full.	29. 1,247
30. Enter the number of requested pages that were declassified in part.	30. 10
31. Enter the number of requested pages that were denied declassification.	31. 0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32. 1,257
33. Enter the number of appealed pages that were declassified in full.	33. 0
34. Enter the number of appealed pages that were declassified in part.	34. 0
35. Enter the number of appealed pages that were denied declassification.	35. 0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36. 0
<b>PART G: Automatic Declassification and Systematic Review</b>	
37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37. 11,521,863
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 9,687,252
<b>PART H: Internal Agency Oversight</b>	
39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 275
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 6
<b>PART I: Explanatory Comments</b>	
Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.	

## Tracking Form SF 311 Data

Fiscal Year

2010

Date Due to ISOO

November 15, 2010

Department/Agency

Department of the Navy

Component

ISOO Liaison

Rashad Shakir (the Magnificent)

Agency POC

Name

Jeffrey Grunigen

Phone

202-433-8847

E-Mail

jeffrey.grunigen@navy.mil

Date Tasking Sent to POC

n/a

Date Verification Received

n/a

Date of Follow-Up

n/a

Comments, Issues, etc.

No comments. Submission seems to be in good order.

Additional Follow-Ups (include name of person, date of follow-up, issue, and response):

Date Response Received

Quality Control



DEPARTMENT OF THE NAVY  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS  
WASHINGTON, DC 20350-2000

IN REPLY REFER TO

5500

Ser N09N2/10U213345

15 Nov 10

MEMORANDUM FOR DIRECTOR, INFORMATION SECURITY OVERSIGHT OFFICE

Subj: FY-10 INFORMATION SECURITY PROGRAM DATA REPORT (SF-311)

Ref: (a) ISOO ltr of 2 Aug 10

Encl: (1) DON FY-10 Information Security Program Data Report

1. Enclosure (1) is forwarded as requested by reference (a).
2. The following variations were noted this fiscal year in comparison to fiscal year 2009 report data:
  - a. 7% decrease input and is attributed to the fluctuation in reporting from some Navy echelon II commands.
  - b. 7% decrease in the number of Department of the Navy (DON) Original Classification Authorities (OCAs). This is a total decrease of 10% over the past two fiscal years.
  - c. 22% increase in the total number of original classification decisions. We believe this is attributed to the Under Secretary of the Navy's direct order to OCAs to review and update their security classification guides.
  - d. 9% decrease in the total number of derivative classification decisions. Believe this is attributed to the same factor indicated in paragraph 2.a above.
  - e. Increases and decreases in the Mandatory Declassification Review (MDR) actions for Part E of enclosure (1) have significant variances, mostly increases. We believe this is attributed to our efforts, implemented during the middle of this reporting fiscal year, to centralize the processing of these actions within the DON. Totals include those received direct by DON commands and our office. We believe the combined numbers more accurately captures the number of MDR actions over previous reporting submissions.
  - f. 14% increase in internal agency oversight inspections, surveys, or program reviews was the result of five commands significantly increasing their activity this year, which offset the six other commands that actually reduced their activities. All others remained close to the same reporting as in FY-09.

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1.	10
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2.	Department of the Navy-----
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3.	Mr. Mark D. Clookie, Director, NCIS, 716 Sicard Street, SE, Suite 2000, Washington Navy Yard DC 20388 (202) 433-9632 voice; (202) 433-9630 (fax); email: mark.clookie@navy.mil
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4.	Mr. Jeff Grunigen, DON Classification Management Program Manager, 202-433-8847 (voice), 202-433-8849 (fax) jeffrey.grunigen@navy.mil
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5.	58
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6.	37
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	95
PART C: Original Classification Decisions		
Original classification is an initial determination that information is classified because its unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	2
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11.	2
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	19
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	78
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14.	97
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	99
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19.	33390
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20.	390507
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21.	108992
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	532889

Fiscal Year

10

Department or Agency Department of the Navy

PART E: Mandatory Declassification Review Requests and Appeals		
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.		
23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	20 29
24. Enter the number of new requests received during the reporting period.	24.	63 54
25. Enter the number of requests carried over to the next reporting period.	25.	74
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	8 0
28. Enter the number of appeals carried over to the next reporting period.	28.	8
PART F: Mandatory Declassification Review Decisions in Pages		
29. Enter the number of requested pages that were declassified in full.	29.	2575
30. Enter the number of requested pages that were declassified in part.	30.	3
31. Enter the number of requested pages that were denied declassification	31.	2
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	2580
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0
PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	10725056
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	8741304
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	320
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	2
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0
PART H: Internal Agency Oversight		
43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	320
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	1
45. Enter the number of challenges where the classification status was fully affirmed.	45.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	1
PART I: Classification Guides		
47. Enter the number of security classification guides created by your agency and currently in use.	47.	823

F409  
20

Fiscal Year

10

Department or Agency Department of the Navy

**PART J: Explanatory Comments**

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

**Sampling Period (check one):**2-Week ☐4-Week ☐8-Week ☒One Year ☐**Multiplier Applied (check one):**None ☐Other (Specify) ☒

• Sampling methodology: 4 sampling periods, 2 weeks each, total of 8 weeks of DON echelon II commands and their subordinate commands with the capability to derivatively and/or originally classify. Multiplier used was 6.5 for derivative classification actions only. We do not believe the application of the 6.5 multiplier for original classification actions reflects an accurate account. Therefore, raw data was provided this year for Part C.

• DON SCGs non-compliant with review/update every 5 years: 32% or 262 SCGs as of 30 Sep 10. This is a significant improvement from Jul 09 of 89%. 30 Mar 10 there were 62% non-compliant (i.e., 558 out of 895 SCGs). Although, there was an improvement of 27% in Mar 10 from Jul 09, significant improvement was still required. Therefore, on 30 Mar 10 the UNSECNAV directed OCAs with SCGs (total 556 SCGs) non-compliant to review/update, within 90 days. Some are still non-compliant, based on above data (i.e., 32% or 262 SCGs). Action is underway to assess suspension of those OCAs non-compliant. Total improvement from Jul 09 to Sep 10 of non-compliant SCGs is 57% or 68% compliant.



# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2011
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. Department of the Navy
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Mr. Mark D. Clookie, 27130 Telegraph Rd., Quantico VA 22134; (571) 305-9000; mark.clookie@navy.mil
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Mr. Jeff Grunigen, DoN Information Security Policy; CNO (N09N2); (571)305-9400; jeffrey.grunigen@navy.mil
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 55
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 37
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 92
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 3
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 3
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 2
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 6
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 8
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 11
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 70973
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 604617
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 243171
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 918761

Fiscal Year

2011

Department or Agency

Department of the Navy

**PART E: Mandatory Declassification Review Requests and Appeals**

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	69
24. Enter the number of new requests received during the reporting period.	24.	116
25. Enter the number of requests carried over to the next reporting period.	25.	67
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	8
27. Enter the number of new appeals received during the reporting period.	27.	2
28. Enter the number of appeals carried over to the next reporting period.	28.	0

**PART F: Mandatory Declassification Review Decisions in Pages**

29. Enter the number of requested pages that were declassified in full.	29.	2
30. Enter the number of requested pages that were declassified in part.	30.	1
31. Enter the number of requested pages that were denied declassification	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	3
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0

**PART G: Automatic, Systematic, and Discretionary Declassification Reviews**

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	7497861
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	4833892
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	27
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0

**PART H: Internal Agency Oversight**

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	307
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	3
45. Enter the number of challenges where the classification status was fully affirmed.	45.	1
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	2

**PART I: Classification Guides**

47. Enter the number of security classification guides created by your agency and currently in use.	47.	734
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Fiscal Year

2011

Department or Agency

Department of the Navy

**PART J: Explanatory Comments**

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

**Sampling Period (check one):**2-Week ☐4-Week ☐8-Week ☒One Year ☐**Multiplier Applied (check one):**None ☐Other (Specify) ☒

• Sampling methodology: 4 sampling periods, 2 weeks each, total of 8 weeks of DON Echelon II commands and their subordinate commands with the capability to derivatively and/or originally classify. The multiplier 6.5 was used for derivative classification actions only. We do not believe that the application of the multiplier of 6.5 to original classification actions reflects an accurate account, therefore raw data was provided for Part C.

• DON SCGs non-compliant with review / update every 5 years: 14 % or 104 SCGs as of 30 Sep 2011. 86% of SCGs were updated, almost a reversal of one year ago. A 14% reduction from FY-10 to FY-11 which equates to an overall 67% reduction in the past 3 FY reports.

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2011
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. Department of the Navy
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Mr. Mark D. Clookie, 27130 Telegraph Rd., Quantico VA 22134; (571) 305-9000; mark.clookie@navy.mil
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Mr. Jeff Grunigen, DoN Information Security Policy; CNO (N09N2); (571)305-9400; jeffrey.grunigen@navy.mil
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 55
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 37
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 92
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 3
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 3
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 2
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 6
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 8
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 11
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 70973
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 604617
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 243171
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 918761

Fiscal Year

2011

Department or Agency

Department of the Navy

**PART E: Mandatory Declassification Review Requests and Appeals**

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	69
24. Enter the number of new requests received during the reporting period.	24.	116
25. Enter the number of requests carried over to the next reporting period.	25.	67
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	8
27. Enter the number of new appeals received during the reporting period.	27.	2
28. Enter the number of appeals carried over to the next reporting period.	28.	0

**PART F: Mandatory Declassification Review Decisions in Pages**

29. Enter the number of requested pages that were declassified in full.	29.	2
30. Enter the number of requested pages that were declassified in part.	30.	1
31. Enter the number of requested pages that were denied declassification	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	3
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0

**PART G: Automatic, Systematic, and Discretionary Declassification Reviews**

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	7497861
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	4833892
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	27
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0

**PART H: Internal Agency Oversight**

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	307
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	3
45. Enter the number of challenges where the classification status was fully affirmed.	45.	1
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	2

**PART I: Classification Guides**

47. Enter the number of security classification guides created by your agency and currently in use.	47.	734
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Fiscal Year

2011

Department or Agency

Department of the Navy

**PART J: Explanatory Comments**

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

**Sampling Period (check one):**2-Week ☐4-Week ☐8-Week ☒One Year ☐**Multiplier Applied (check one):**None ☐Other (Specify) ☒

• Sampling methodology: 4 sampling periods, 2 weeks each, total of 8 weeks of DON Echelon II commands and their subordinate commands with the capability to derivatively and/or originally classify. The multiplier 6.5 was used for derivative classification actions only. We do not believe that the application of the multiplier of 6.5 to original classification actions reflects an accurate account, therefore raw data was provided for Part C.

• DON SCGs non-compliant with review / update every 5 years: 14 % or 104 SCGs as of 30 Sep 2011. 86% of SCGs were updated, almost a reversal of one year ago. A 14% reduction from FY-10 to FY-11 which equates to an overall 67% reduction in the past 3 FY reports.